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024587 7590 05/12/2004

ALCATEL USA
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Melanie Murdock (Depositor's name)
Melanie Murdock (Signature)
June 4, 2004 (Date)

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/877,921	06/07/2001	Daniel T. Moriarty	2675.1006-000	2511

TITLE OF INVENTION: POWER CONTROL CIRCUIT FOR LASER DIODE HAVING WAVELENGTH COMPENSATION

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1330	\$300	\$1630	08/12/2004

EXAMINER	ART UNIT	CLASS-SUBCLASS
NGUYEN, TUAN N	2828	372-038020

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

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2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

Hamilton, Brook, Smith
& Reynolds, P.C.
Jessica W. Smith
J. Lawrence Sewell

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PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the USPTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY AND STATE OR COUNTRY)

Alcatel Communications, Inc.

Plano, Texas

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ individual ☒ corporation or other private group entity ☐ government

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Jessica Smith **6/4/04**
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 02 FC:1504 300.00 DA
 03 FC:8001 15.00 DA



Intellectual Property Department
3400 W. Plano Parkway, M/S LEGL2
Plano, TX 75075-5813
Tel.: (972) 519-3000

FACSIMILE TRANSMITTAL

TO:	BOX ISSUE FEE
COMPANY:	U.S. Patent and Trademark Office
FAX NO.:	703-746-4000
FROM:	Melanie Murdock
COMPANY:	Alcatel USA
	Intellectual Property Department
FAX NO.:	972-477-9328
SENDER'S TELEPHONE NO.:	972-519-5103
DATE:	June 4, 2004
TOTAL PAGES (incl. Cover)	3

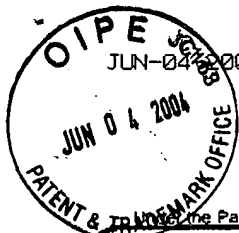
Serial No.: 09/877,921
Attorney Docket No.: 139077

I hereby certify that the following documents are being transmitted via facsimile to the U.S. Patent and Trademark Office on June 4, 2004.

1. Facsimile Transmittal (1 page)
2. Fee Transmittal (1 page)
3. Part B - Fee(s) Transmittal (1 page)


Melanie Murdock

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972 477 9328 P.02/03

PTO/SB/17 (10-03)

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FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

(\$) 1,645.00

Complete if Known

Application Number	09/877,921
Filing Date	June 7, 2001
First Named Inventor	Moriarty, et al.
Examiner Name	Tuan N. Nguyen
Art Unit	2828
Attorney Docket No.	139077

METHOD OF PAYMENT (check all that apply)☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:Deposit
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Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 770	2001 385	Utility filing fee	
1002 340	2002 170	Design filing fee	
1003 530	2003 265	Plant filing fee	
1004 770	2004 385	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
SUBTOTAL (1) (\$)			

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	-20** =	X	
Multiple Dependent	-3** =	X	

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 86	2201 43	Independent claims in excess of 3
1203 290	2203 145	Multiple dependent claim, if not paid
1204 86	2204 43	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

**or number previously paid, if greater. For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for ex parte reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 420	2252 210	Extension for reply within second month	
1253 950	2253 475	Extension for reply within third month	
1254 1,480	2254 740	Extension for reply within fourth month	
1255 2,010	2255 1,005	Extension for reply within fifth month	
1401 330	2401 165	Notice of Appeal	
1402 330	2402 165	Filing a brief in support of an appeal	
1403 290	2403 145	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,330	2453 665	Petition to revive - unintentional	
1501 1,330	2501 665	Utility issue fee (or reissue)	1,630.00
1502 480	2502 240	Design issue fee	
1503 640	2503 320	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1806 180	1806 180	Submission of Information Disclosure Stmt	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 770	2809 385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 770	2810 385	For each additional invention to be examined (37 CFR 1.129(b))	
1801 770	2801 385	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	
Other fee (specify) Additional Copies (5)			15.00

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 1,645.00

SUBMITTED BY

Name (Print/Type) Jessica W. Smith

Signature

Registration No.
(Attorney/Agent)

39,884

(Complete if applicable)

Telephone 972-477-9109

Date

6/4/04

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